#### **CERTIFICATE OF MAILING**



I hereby certify that this correspondence is addressed as set out in §1.1(a) and deposited with the United States Postal Service with sufficient postage as first class mail on the date indicated below and is addressed to: Commissioner for Patents, P O Box 1450, Alexandria, VA 22313-1450.

Date: April 21, 2004

Attorney Docket No.: 11000.1070U

PATENT APPLICATION

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of Jeroen Demmer, Michael Andrew Shenk, Claire Hall, and Steven Anthony Fish

Group Art Unit: 1645

Application No. :

10/657,852

Filed

September 9, 2003

For

ANTIFREEZE PROTEINS ISOLATED FROM FORAGE GRASSES

AND METHODS FOR THEIR USE

### INFORMATION DISCLOSURE STATEMENT

Commissioner For Patents P O Box 1450 Alexandria, VA 22313-1450

Dear Examiner:

The documents listed on the accompanying form PTO/SB/08 are cited in compliance with the provisions of 37 C.F.R. §§ 1.56, 1.97, and 1.98, as amended. Applicant does not concede that the references are "prior art" under 35 U.S.C. § 102 or 103, and specifically reserves the right to antedate such material, as by a showing under 37 C.F.R. § 1.131 or otherwise.

The attached PTO/SB/08 form identifies seven (7) listed references. Copies of each of the listed references are enclosed herewith for the Examiner's convenience.

This Information Disclosure Statement is being filed prior to receipt of any substantive Office Action and therefore no fee or certification is required.

Respectfully submitted,

Janet Sleath

Registration No. 37,007

Date: April 27, 2004

SPECKMAN LAW GROUP

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PTO/SB/08 (10-01)
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Substitute for form 1449A/PTO			Complete if Known		
-1	-1		Application Number	10/657,852	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT PECO			Filing Date	September 9, 2003	
		/ -	First Named Inventor	Jeroen DEMMER, et al.	
(use as many sheets as necessary)  APR 3 0 2004		Art Unit	1645		
		\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\	Examiner Name		
Sheet	1	of TRADEMA	Attorney Docket Number	11000.1070U	

	U.S. PATENT DOCMENTS						
Examiner	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
Initials*		Number-Kind Code <sup>2</sup> (if known)					
	1						
	2	US-					
	3	US-					
	4	us-					
	5	us-					

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant	T*
	1	Country code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	07-29-1999	Unilever PLC	Figures Appear	
	2	WO 99/37782 WO 98/04699	02-05-1998	Unilever NV		
	3	WO 01/83534 A1	11-08-2001	Unilever PLC, Unilever NV, and Hindustan Lever Limited		
	4	WO 99/06565	02-11-1999	Ice Biotech, Inc.	_	

OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS					
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>		
	1	TWIGG, S., ET AL., "Frozen Food Product", EMBL/GenBank/DDBJ databases, (Jul-1999) Accession No. AX019971.			
	2	SIDEBOTTOM, C.M., unknown, GenBank database, (APR-2000) Accession No. LPE277399.			
	3	SIDEBOTTOM, C.M., unknown, GenBank database, (APR-2000) Accession No. CAB87814.			
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Examiner	Date	
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Signature	Considered	
Signature I	Considered	1

EXAMINER; Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEÉS OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P O Box 1450, Alexandria, VA 22313-1450.

<sup>&</sup>lt;sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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Alexandria, VA 22313-1450.

Paige A. Johnson

Attorney Docket No.: 11000.1070U PATENT APPLICATION

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METHODS FOR THEIR USE

## TRANSMITTAL LETTER

Commissioner For Patents P O Box 1450 Alexandria, VA 22313-1450

Enclosed for filing in the above-referenced patent application are the following:

- 1. Information Disclosure Statement;
- 2. Form PTO/SB/08:
- 3. Copies of seven (7) listed references; and
- 4. Return Receipt postcard.

The Commissioner is hereby authorized to charge any additional fees, which may be required in connection with the filing of these documents, or credit any overpayment to Deposit Account No. 19-3555. This document is filed in duplicate.

Respectfully submitted,

Janet Sleath

Registration No. 37,007

Date: April 27, 2004

SPECKMAN LAW GROUP

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